

09/19/03

15757 U.S. PTO
10/66628809/19/03
05900 U.S. PTO

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,516.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | VISRAM, Naheed |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | 12361-10US-1 JEL |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account **195113**
 Number
 Deposit Account **Ogilvy Renault**
 Name

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| | | 1051 | 130 | 2051 65 Surcharge - late filing fee or oath | |
| | | 1052 | 50 | 2052 25 Surcharge - late provisional filing fee or cover sheet | |
| | | 1053 | 130 | 1053 130 Non-English specification | |
| | | 1812 | 2,520 | 1812 2,520 For filing a request for ex parte reexamination | |
| | | 1804 | 920* | 1804 920* Requesting publication of SIR prior to Examiner action | |
| | | 1805 | 1,840* | 1805 1,840* Requesting publication of SIR after Examiner action | |
| | | 1251 | 110 | 2251 55 Extension for reply within first month | |
| | | 1252 | 410 | 2252 205 Extension for reply within second month | |
| | | 1253 | 930 | 2253 465 Extension for reply within third month | |
| | | 1254 | 1,450 | 2254 725 Extension for reply within fourth month | |
| | | 1255 | 1,970 | 2255 985 Extension for reply within fifth month | |
| | | 1401 | 320 | 2401 160 Notice of Appeal | |
| | | 1402 | 320 | 2402 160 Filing a brief in support of an appeal | |
| | | 1403 | 280 | 2403 140 Request for oral hearing | |
| | | 1451 | 1,510 | 1451 1,510 Petition to institute a public use proceeding | |
| | | 1452 | 110 | 2452 55 Petition to revive - unavoidable | |
| | | 1453 | 1,300 | 2453 650 Petition to revive - unintentional | |
| | | 1501 | 1,300 | 2501 650 Utility issue fee (or reissue) | |
| | | 1502 | 470 | 2502 235 Design issue fee | |
| | | 1503 | 630 | 2503 315 Plant issue fee | |
| | | 1460 | 130 | 1460 130 Petitions to the Commissioner | |
| | | 1807 | 50 | 1807 50 Processing fee under 37 CFR 1.17(q) | |
| | | 1806 | 180 | 1806 180 Submission of Information Disclosure Stmt | |
| | | 8021 | 40 | 8021 40 Recording each patent assignment per property (times number of properties) | 40 |
| | | 1809 | 750 | 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| | | 1810 | 750 | 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | 1801 | 750 | 2801 375 Request for Continued Examination (RCE) | |
| | | 1802 | 900 | 1802 900 Request for expedited examination of a design application | |
| | | | | Other fee (specify) _____ | |

SUBTOTAL (2) (\$ 726)

*or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

| SUBMITTED BY | | | | (Complete if applicable) | |
|-------------------|---------------|-----------------------------------|-------|--------------------------|--------------------|
| Name (Print/Type) | Paul J. Field | Registration No. (Attorney/Agent) | 34963 | Telephone | 416.216.3903 |
| Signature | | | | Date | September 19, 2003 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

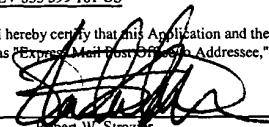
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ROBERT W. STROZIER, P.L.L.C.

A FIRM SPECIALIZING IN INTELLECTUAL PROPERTY LAW INCLUDING
PATENT, TRADEMARK, COPYRIGHT, TRADE SECRET LAW,
UNFAIR COMPETITION AND RELATED MATTERS

2925 BRIARPARK, SUITE 930
HOUSTON, TX 77042
TELEPHONE: 713/977-7000
TELECOPIER: 713/977-7011

September 19, 2003

| | | |
|--|--------------------------------------|--------------------------------------|
| EV 333 399 181 US | CERTIFICATION UNDER 37 C.F.R. § 1.10 | 19 September 2003 Date of Deposit |
| I hereby certify that this Application and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an envelope as "Express Mail from Docket Addressee," addressed to: | | |
|  Robert W. Strozier | | |
| Commissioner for Patents MS NEW PATENT APPLICATION P.O. Box 1450 Alexandria, VA 22313-1450 | | |
| 19 September 2003 Date of Signature | | |

Honorable Commissioner for Patents
MS New Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

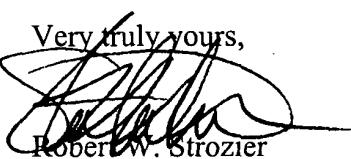
Attorney Docket No:
12361-10US-1 JEL

Re: **US SN: 10/; FD: 19 September 2003**
Title: **Surgical Perforation Device and Method with Pressure Monitoring and Staining Abilities**
Our Ref. No.: 12361-10US-1 JEL

Dear Sir/Madam:

This letter is a request to file a Original Patent Application including: (1) a 42 page disclosure; (2) 10 sheets of drawings; (3) Transmittal Large Entity; (4) Fee Transmittal + Check; (5) Declaration; (6) Assignment Recordation, Assignment + Check; and (7) a Return Receipt Postcard.

Please return the enclosed postcard to acknowledge receipt and filing of this application.

Very truly yours,

Robert W. Strozier
For Paul J. Field

RWS/sls

Enclosures:

U.S. Original Patent Application
Transmittal – Large Entity
Return Self-Addressed Stamped Postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | 12361-10US-1 JEL |
| First Inventor | VISRAM, Naheed |
| Title | Surgical Perforation Device and Method with Pressure Monitoring and Staining Abilities |
| Express Mail Label No. | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
 Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 42] (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Sheets 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 10/347,366.....

Prior application Information: Examiner Art Unit: 3763
 For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS
 Customer Number: 020988 OR Correspondence address below

| | | | |
|---------|-----------|----------|--|
| Name | | | |
| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|----------------------|-----------------------------------|-------|
| Name (Print/Type) | <u>Paul J. Field</u> | Registration No. (Attorney/Agent) | 34963 |
| Signature | <u>Paul J. Field</u> | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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